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SwissSign AG  
Dr. Reinhard Dietrich  
Sägereistrasse 25  
CH-8152 Glattbrugg  
Switzerland

Contact      Reto Grubenmann  
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Zurich, 10 Mai 2017

## To the Certification Authority staff and Management of SwissSign AG

Dear Mr. Dietrich

We have performed the procedures enumerated below, which were agreed to by the Managements of Client, solely to assist you in evaluating the company's application for an Extended Validation (EV) Certificate. This agreed-upon procedures engagement was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants.

KPMG performs continuous PKI audits for SwissSign AG and has executed a main certification audit in year 2017 (surveillance certification audits against the mandatory standardizations listed in the following section are scheduled for 2018).

The Certification Authorities in scope were these ones:

Certificate Authority	Applicable policy document	Fingerprint (SHA-1)
<b>SwissSign Silver G2</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.3.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Silver-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Silver-CP-CPS.pdf</a>	9b aa e5 9f 56 ee 21 cb 43 5a be 25 93 df a7 f0 40 d1 1d cb

### Sub-CAs:

Personal Silver G22  
Server Silver G22

<b>SwissSign Gold G2</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.2.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Gold-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Gold-CP-CPS.pdf</a>	d8 c5 38 8a b7 30 1b 1b 6e d4 7a e6 45 25 3a 6f 9f 1a 27 61
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### Sub CAs:

EV Gold G22  
Server Gold G22  
Personal Gold G22  
PKI Ext Machine  
PKI Ext Machine TEST



Certificate Authority	Applicable policy document	Fingerprint (SHA-1)
<b>SwissSign Platinum G2</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.1.1.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Platinum-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Platinum-CP-CPS.pdf</a>	56 e0 fa c0 3b 8f 18 23 55 18 e5 d3 11 ca e8 c2 43 31 ab 66
Sub CAs: Personal Platinum G22 Qualified LI Platinum G22 Qualified Platinum CA G22 16-1 Qualified Platinum G2 SuisseID Platinum G2 SuisseID Platinum G22 TSA Platinum G22		
<b>SwissSign Silver G3</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.3.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Silver-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Silver-CP-CPS.pdf</a>	8d 08 fc 43 c0 77 0c a8 4f 4d cc b2 d4 1a 5d 95 6d 78 6d c4
Sub CAs: Silver Personal G3 Silver Server G3		
<b>SwissSign Gold G3</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.2.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Gold-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Gold-CP-CPS.pdf</a>	0b 71 99 a1 c7 f3 ad df 7b a7 ea b8 eb 57 4a e8 0d 60 dd de
Sub CAs: Gold EV G3 Gold Personal G3 Gold Server G3 PKI Ext Machine G3 PKI Ext Machine TEST G3		
<b>SwissSign Platinum G3</b> (self-signed)	<b>Document OID:</b> 2.16.756.1.89.1.1.1.1.6 <b>Link:</b> <a href="http://repository.swissign.com/SwissSign-Platinum-CP-CPS.pdf">http://repository.swissign.com/SwissSign-Platinum-CP-CPS.pdf</a>	a1 e7 c6 00 aa 41 70 e5 b7 4b c9 4f 9b 97 03 ed c2 61 b4 b9
Sub CAs: Platinum Personal G3 Platinum Qualified G3 Platinum Qualified LI G3 Platinum Server G3 Platinum SuisseID G3		



Note that the corresponding certification report was written in German and is only intended for the client. Our certification audit field work commenced on 9 January 2017 and was completed on 8 March 2017. The report was issued on 22 March 2017.

Since some certificates being issued are (EV) SSL certificates we specifically confirm that we have used the following standards and policies:

<b>Standard</b>	<b>Policies</b>
ETSI EN 319 411-1 [2016-02] (DVCP and PTC-BR policies) - Electronic Signatures and Infrastructures (ESI); Policy requirements for certification authorities issuing public key certificates	DVCP and PTC-BR
ETSI EN 319 411-1 [2016-02] or later (EVCP) - Electronic Signatures and Infrastructures (ESI); Policy requirements for certification authorities issuing public key certificates	EVCP
ETSI EN 319 411-1 [2016-02] or later (LCP and NCP policies) - Electronic Signatures and Infrastructures (ESI); Policy requirements for certification authorities issuing public key certificates	LCP, NCP

The sufficiency of the procedures is solely the responsibility of those parties specified in this report. Consequently, we make no representation regarding the sufficiency of the procedures described below either for the purpose for which this report has been requested or for any other purpose.

The KPMG auditors consider the outcome of the audit to be 'clean' in the sense that we have found no reason that would prevent SwissSign AG from offering its services. No major non-conformities were encountered during the course of the audit.

Specified Information:	Procedure:	Results:
	<p>KPMG has completed the Certification Audit Process in accordance with the CSP Standards ZertES, VZertES, TAV (SR 943.032.1 PKI standards for Switzerland), ETSI EN 319 411-2 [2016-02], ETSI EN 319 412-5 and ETSI EN 319 411 [2016-02], ETSI EN 319 421 (PKI standards for Europe), CAB/Forum Baseline and CAB/Forum EV Guideline with ETS EN 319.403 and referenced PKI standards for the PKI Trust Center of SwissSign AG.</p>	<p>KPMG herewith confirms that the CSP audit certification process (SR 943.032.1 and ETSI TS 102.042 with EV requirements) also covers all aspects of a Web-Trust certification and is therefore fit to be included in web browser root certificate stores. See further information about the scope of the certification on the webpage SECO-SAS (Berne, Switzerland): <a href="http://www.seco.admin.ch/sas/PKI">http://www.seco.admin.ch/sas/PKI</a>.</p>
<p>Legal Name: <b>SwissSign AG</b></p>	<p>KPMG has verified the legal name using the Swiss Commercial Register.</p>	<p>The relevant company number in the Swiss Commercial Register is <b>CHE-109.357.012</b></p>
<p><b>Sägereistrasse 25 8152 Glattbrugg Switzerland</b></p>	<p>KPMG has performed several site visits at <b>SwissSign AG's</b> site.</p>	<p>The site visit has shown that <b>SwissSign AG</b> operates at the indicated address</p>
<p><b>Business Phone Number: +41 44 838 36 00</b></p>	<p>We have called the indicated central phone number and additionally phone numbers of individual SwissSign AG employees.</p>	<p>Phoned Business Number and noted that it was answered with the Doing Business As name. A receptionist has answered the phone.</p>
<p>Bank Account – “Bank Name”, “Account Number”</p>	<p>This is not applicable in Switzerland.</p>	<p>N/A – We have used the Swiss Commercial Register instead.</p>
<p>The corporate officers are "NAMED" (verified officer)</p>	<p>We personally know and have spoken to in person to several corporate officers.</p>	<p>The corporate officers who KPMG knows are: <b>Mr. Urs Fischer</b> <b>Mr. Reinhard Dietrich</b> <b>Ms. Cornelia Enke</b></p>
<p>Name of application signer and approver</p>	<p>Obtain letter from verified Officer confirming the names of the application signer and approver.</p>	<p><b>Mr. Reinhard Dietrich</b> serves as PKI Director. KPMG knows Mr. R. Dietrich in person.</p>



KPMG has assessed the architecture, operation and procedures on a sample approach although we have not assessed every configuration setting on technical devices.

This report is intended solely for the information and use of the Certification Authority (CA) and managements of Client, and is not intended to be and should not be used by anyone other than these specified parties.

Please do not hesitate to contact Mr. Reto Grubenmann (+41 58 249 42 46), leader of the KPMG's certification body of KPMG AG in Zurich (Switzerland), should you have any queries.

Yours sincerely

KPMG AG

A handwritten signature in blue ink, appearing to read 'R. Grubenmann', written in a cursive style.

Reto Grubenmann  
*Director*

A handwritten signature in blue ink, appearing to read 'U. Würgler', written in a cursive style.

Urs Würgler  
*Manager*

*Copy to:*  
Certification Body SCESm 0071, KPMG AG Switzerland